

Please type a plus sign in this box: ☐

PTO/SB/05 (12/97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; US DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new non-provisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	014938.0003	Total Pages	1
First Named Inventor or Application Identifier			
Chaoying Zhao			
Express Mail Label No.	EL709376393US		

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO

**Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231**

1. ☒ **Fee Transmittal Form**
(Submit an original, and a duplicate for fee processing)
2. ☒ **Specification** [Total Pages 19]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☐ **Drawing(s)** (35 USC 113) [Total Sheets]
- ☒ **Oath or Declaration** [Total Pages 2]
 - a. ☒ Unexecuted (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed)
[Note Box 5 Below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☐ **Incorporation By Reference** (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program ((Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents)
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity ☐ Statement filed in prior application, Status still proper and desired
15. ☒ Copy of Priority Document(s)
(if foreign priority is claimed)
16. Other:

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 20594 or ☒ Correspondence address below

NAME	ALVIN R. WIRTHLIN			
ADDRESS				
CITY		STATE		ZIP CODE
COUNTRY		TELEPHONE		FAX

Please type a plus sign in this box:

+


PTO/SB/01 (3-97)

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FEE TRANSMITTAL <i>Note: Effective October 1, 1997</i> <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application No.	N/A
		Filing Date	November 15, 2000
		First Named Inventor	Chaoying Zhao
		Group Art Unit	N/A
		Examiner Name	N/A
TOTAL AMOUNT OF PAYMENT	\$355.00	Attorney Docket Number	014938.0003

METHOD OF PAYMENT (check one) 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit 01-0657 Account Number Deposit Akin Gump Strauss Hauer & Feld Account Name <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance <input type="checkbox"/> Treat any future replay requiring an extension of time as incorporating a request therefor.				FEE CALCULATION (continued) 3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>Requesting publication of SIR prior to Examiner Action</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after to Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Alvin R. Wirthlin			Reg. Number	40,267
Signature				Date	11/14/00
				Deposit Account User ID	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Chaoying Zhao

Serial No. N/A

Group Art Unit: N/A

Filed: November 15, 2000

Examiner: N/A

For: NOVEL PHARMACEUTICAL COMPOSITIONS FOR TREATING AND
SAVING AND THE METHOD FOR THE PREPARATION THEREOF

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE OF MAIL BY "EXPRESS MAIL"

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Date of Deposit: November 15, 2000

I hereby certify that the attached patent application comprising the following documents is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date of deposit indicated above.

- Utility Patent Application Transmittal;
- Fee Transmittal;
- Filing fee in the amount of \$355.00;
- 19 sheets of specification, claims and Abstract of the Disclosure;
- Declaration (unexecuted);
- Preliminary Amendment; and
- return postcard.

Ellen Lovelace
(Typed Name of Person Depositing
Envelope in Express Mail Facility)

Ellen Lovelace
Signature

Akin, Gump, Strauss, Hauer & Feld, L.L.P.
P.O. Box 688
Dallas, TX 75313-0688
Phone: (214) 969-2800
#412456

